



Family Linking request

– ISA, Junior ISA (JISA), Collective Investment Account (CIA), Collective Investment Bond (CIB) and Collective Retirement Account (CRA).

Using the editable fields?

To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

With this form you can:

- request to link investments for the purposes of calculating the Product/Service Charge
- **To be eligible for Family Linking, the individuals and investments must be serviced by the same financial adviser firm.**
- **We regularly update our forms;** your financial adviser can confirm that this April 2021 version is the latest by checking the literature library on our website platform.quilter.com

Note

- The rates for the Product/Service Charge reduce in stages as the total value of an investor's assets increases. When investments are linked, we use the combined assets held on Quilter's platform by the linked investors to calculate the percentage rates for their Product/Service Charge.
- The Product/Service Charge only applies to investments held on Charge Basis 3; but those on Charge Basis 1 and 2 can also be linked so that their values will be included to reduce the Product/Service Charge rate.
- Linking investors has no effect on the Investor Charge deducted from Charge Basis 1 and 2 investments.
- Linking does not convert investments to Charge Basis 3.
- **CRA/JISA** – The parent/guardian who is the registered contact for a child's CRA or JISA will be automatically linked to the child's investment for charging purposes; you do not therefore need to use this form for Family Linking to be applied to the registered contact. However, the spouse/civil partner* of the named registered contact/guardian can apply for Family Linking using this form.

Completing this form

- Tick/complete this form, as applicable, using BLOCK CAPITALS and blue or black ink. For dates, please use the format day/month/year.
- Send the completed form to: Quilter, Quilter House, Portland Terrace, Southampton SO14 7AY.

Eligible for Family Linking

To be eligible for Family Linking, the following individuals and investments must be serviced by the same financial adviser firm.

Individuals ► Complete the details in section 2A, if applicable.

- The following family members or step-family members of the investor named in section 1:
 - Spouse*
 - Children**
 - Parents**
 - Siblings
 - Grandchildren
 - Grandparents

*References to spouse in this form also mean civil partner as defined by the UK Civil Partnership Act 2004.

**May also include step- or in-law children and parents.

Other investments ► Complete the details in section 2B, if applicable.

- Trust – where the investor or one of their family members listed above is the settlor of the trust.
- SIPP investing in the CIA – where the investor or one of their family members listed above is the SIPP member.
- A CIA held within an offshore bond, where the investor and/or one of their family members listed above are the bond holders or settlors of bonds held in trust.

Important information

If Quilter accepts and carries out the Family Linking request, we:

- will link all investors detailed on this form who meet the eligibility criteria, provided assets are held under the Customer References given
- will aim to have the nominated parties linked no later than 10 working days after receipt of this request
- will then use the combined value of assets held by the linked investors to calculate the percentage rates for the Product/Service Charge on any investments they hold on Charge Basis 3
- will update the Adviser Extranet so that financial advisers can check that the Family Linking request has been carried out
- will not release information about linked parties to investors with whom they are linked; please refer to the declaration and notes in section 4 for more information.

Quilter reserves the right:

- to query or reject the Family Linking request
- to ask for evidence to support eligibility criteria and to carry out any related checks deemed necessary for this purpose
- to remove the link between investors at any time.

Note

- If no assets are held under a Customer Reference given, it cannot be linked.
- If there are still no assets under the Customer Reference after three months, we reserve the right to disregard the instruction.

1. Investor details

Investor's full name*

Date of birth Customer Reference A C

**If the main investor link is via a child's CRA or JISA please give the child's name in this section*

2. Investments to be linked

Note

- The customer references in this section will be linked to each other where eligible, not just to the investor named in section 1.
- Additional Family Linking requests can be submitted when other family members become investors, if required.

2A Individuals

Complete this section with details of the individuals to be linked, including their relationship to the investor named in section 1.

▶ *If there are more than ten, please use a copy of this page to provide the same information for each additional individual.*

Full name

Date of birth Customer Reference A C

Relationship Spouse Child Parent Sibling Grandparent Grandchild

Full name

Date of birth Customer Reference A C

Relationship Spouse Child Parent Sibling Grandparent Grandchild

Full name

Date of birth Customer Reference A C

Relationship Spouse Child Parent Sibling Grandparent Grandchild

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Full name

Date of birth Customer Reference

Relationship Spouse Child Parent Sibling Grandparent Grandchild

Full name

Date of birth Customer Reference

Relationship Spouse Child Parent Sibling Grandparent Grandchild

Full name

Date of birth Customer Reference

Relationship Spouse Child Parent Sibling Grandparent Grandchild

2B Other investments to be linked

Complete this section with details of other investments to be linked, including:

- The name of the investor (eg the SIPP, trust etc, as applicable)
- The underlying investor* and
- Their relationship with the investor named in section 1.

► If there are more than five, please use a copy of this page to provide the same information for each one.

Investor name

Underlying investor Customer Reference

Relationship Spouse Child Parent Sibling Grandparent Grandchild

Investor name

Underlying investor Customer Reference

Relationship Spouse Child Parent Sibling Grandparent Grandchild

Investor name

Underlying investor Customer Reference

Relationship Spouse Child Parent Sibling Grandparent Grandchild

Investor name

Underlying investor Customer Reference

Relationship Spouse Child Parent Sibling Grandparent Grandchild



Investor name

Underlying investor Customer Reference

A	C								
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Relationship Spouse Child Parent Sibling Grandparent Grandchild

*For the purposes of this form, underlying investor means:

- Sole member of a SIPP investing through a CIA
- Settlor of a trust holding a CIA/CIB
- Policy holder of an offshore bond investing through a CIA
- Settlor of a trust holding an offshore bond investing through a CIA
- Shareholder of a company investing through a CIA (where all shareholders are eligible to link).

3. Contact details

Please give details of whom we should contact if we have any queries about this request.

Name *(print)*

Company name *(if applicable)*

Telephone Email

4. Signature

- a) I authorise Family Linking between all parties detailed in sections 1 and 2 of this form.
- b) I confirm that the parties listed in this form meet the criteria for Family Linking.
- c) I have explained to each one that, once linked, the total value of all linked investments will be used to calculate our Product/Service Charge on each account.

Note

- Quilter will not release information about the investments between individuals, but illustration documents will confirm the total value of linked assets so that the applicable charges can be accurately calculated and displayed.
- Linked investors may be able to make assumptions about the value of their family's accounts, based on changes to their own charges. Please make them aware of this and ensure they are comfortable with it.

Signed Date

Print name Capacity
(eg financial adviser)



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